Best Practice, Comprehensive, Development and Implementation, Due Diligence, Ensuring Compliance, Policies and Procedures, Potential, Regulatory Reporting, Regulatory Requirements, Risk Management, Risk Mitigation, Senior Management, Training Program, Transaction Monitoring

**CV of David Parker**

**Contact Information:**

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**Professional Summary:**

Experienced PEP & High Risk Analyst with over 12 years in the banking industry. Expertise in conducting comprehensive due diligence, managing high-risk client portfolios, and ensuring compliance with AML regulations. Adept at identifying financial crime risks and implementing effective mitigation strategies.

**Education:**

**University of Warwick (Russell Group)**

* MSc Risk Management, Distinction
* Graduated: 2011

**University of Leeds (Russell Group)**

* BSc Business and Finance, Upper Second Class Honours
* Graduated: 2009

**Professional Experience:**

**NatWest Group** *Lead PEP & High Risk Analyst*  
*Manchester, UK*  
*2017 - Present*  
Lead analyst responsible for conducting enhanced due diligence on PEPs and high-risk clients. Developed and implemented risk assessment frameworks, ensuring compliance with AML regulations and internal policies.

* **Key Achievements:**
  + Developed a comprehensive training program for new analysts, improving overall team performance.
  + Conducted complex investigations into high-risk clients, successfully mitigating significant financial crime risks.
  + Implemented new risk assessment tools that increased the accuracy and efficiency of due diligence processes.

**Santander UK** *PEP & High Risk Analyst*  
*Manchester, UK*  
*2013 - 2017*  
Conducted enhanced due diligence and risk assessments on high-risk clients and PEPs. Collaborated with compliance and legal teams to ensure adherence to AML regulations.

* **Key Responsibilities:**
  + Performed detailed due diligence on high-risk clients, identifying potential financial crime risks.
  + Prepared comprehensive risk assessment reports for senior management.
  + Assisted in the development and implementation of AML policies and procedures.
  + Monitored client transactions for suspicious activities and potential risks.

**HSBC Bank** *AML Compliance Analyst*  
*Manchester, UK*  
*2011 - 2013*  
Supported the AML compliance team by conducting due diligence and monitoring transactions for suspicious activities. Ensured compliance with regulatory requirements and internal policies.

* **Key Contributions:**
  + Conducted due diligence on new and existing clients, identifying and mitigating potential AML risks.
  + Analyzed transaction patterns to detect signs of money laundering and other financial crimes.
  + Assisted in the preparation of compliance reports for senior management and regulatory authorities.
  + Participated in regular training sessions to stay updated on AML regulations and best practices.

**Skills:**

* Enhanced Due Diligence (EDD)
* Anti-Money Laundering (AML) Compliance
* Financial Crime Risk Assessment
* Transaction Monitoring
* Regulatory Reporting
* Risk Mitigation Strategies
* Training and Development
* Strong Analytical Skills
* Effective Communication and Collaboration

**Certifications:**

* Certified Anti-Money Laundering Specialist (CAMS)
* International Diploma in Anti-Money Laundering (ICA)
* Certified Financial Crime Specialist (CFCS)
* Certificate in Risk Management (CIRM)